

DOCUMENTARY PROOF

CORPORATE ACCOUNT

1. Copy of PAN Card of the company
2. Registered address proof of the company (Form No. 18)
3. Copy of PAN Card of the Authorised Signatory / Passport / Voter ID / Driving License of director / authorised signatories.
4. Address proof of authorised signatory.
5. Proof of the Demat account.
6. Verification / attestation of signature of the directors by the bank
7. Copies of the balance sheet for the last 2 financial years (Copies of annual balance sheet to be submitted every year)
8. Copies of the Memorandum & Articles of Association
9. Photographs of whole time directors, individual promoters holding 5% or more, either directly or indirectly, in the shareholding of the company and of persons authorised to deal in securities on behalf of the company.
10. Copy of latest share holding pattern including list of all those holding more than 5% in the share capital of the company, duly certified by the Company Secretary/Whole Time Director/M.D.
11. Copy of the Resolution of Board of Directors approving participation in equity / derivatives trading
12. List of Directors on Letter Head of the Company with address & contact no.
13. Copy of Form No. 32 of the company.

PARTNERSHIP FIRM

1. Certified true copy of the partnership deed.
2. Passport size photographs of all partners.
3. Proof of demat account (it should be either in a partners name or jointly in partners name)

Note:

1. Complete document to be signed by person himself/herself not to be signed by his/her attorney/ authorised person etc.

4. Copy of the annual accounts for last two years.
5. Proof of address & contact no. of all partners.
6. PAN card of the Firm.
7. PAN card of all partners.
8. Authority Letter by all partners in favour of managing parnter/ authorised signatory.

HUF

1. A recent passport size photograph of the karta
2. Copy of Pan card of the HUF & the Karta
3. Address Proof in the name of HUF & the Karta.
4. Bank proof in the name of HUF
5. Proof of depository account (any one of the following), copy of holding statement, transaction statement / client master issued by DP of the constitute name.
6. Signature of Karta and all the major co-parceners on the declaration
7. Copies of Income tax returns of last year.

SPECIFIC INSTRUCTIONS LIST CUM CHECK LIST

1. **Additional Documents for Trading in Derivative Segment**
 - a. Copy of ITR acknowledgment
 - b. Copy of Annual Accounts
 - c. Net-worth Certificate
 - Bank account statement for last six months.
 - Copy of DMAT holding statement.
 - In case of Salaried persons Latest Salary Slip and Form 16 copy.
 - Any other relevant documents substantiating ownership of assets.
 - Self declaration along with relevant supporting.

APPLICATION FOR CLIENT REGISTRATION - CORPORATE



MLB CAPITAL PVT. LTD.

Regd. Office: 301, Pratap Chambers, Gurudwara Road, Karol Bagh, New Delhi-110005
 Ph.: 011-45060606 (30 Lines), 28759891 - 2, Fax: 011-45060666, 28759898
 E-mail : mlbcapital@mlbcapital.com, mlbcaps@hotmail.com, Website: www.mlbcapital.com
 SEBI Registration No.: NSE Cash-INB230979530, NSE F&O-INF230979530

Note :

The information to be given in the form, is the sole property of MLB Capital Pvt. Ltd. and would not be disclosed to anyone unless required by law or except with the express permission of the client.

Status : Body Corporate Trust HUF
 Partnership Bank Others (Please Specify).....

Name of the Company/Firm :

Date of Incorporation :

Date of Commencement of Business :

Nature of Business :

Unique Identification Number :

Registration Number (with ROC, SEBI or any government authority) :

Corporate Office Address :

City : State :

Country : Pin Code :

Registered Office Address :

City : State :

Country : Pin Code :

Nationality :

Telephone No. (with STD/ISD Code) : Mobile :

Fax..... PAN :

E-mail :

DETAILS OF PROMOTERS / DIRECTORS / PARTNERS / KARTA

Sr. No.	Name	Residential Address	Contact No.
1.			
2.			
3.			

(Please use separate sheet if more than three)

DETAILS OF WHOLE TIME DIRECTOR(S)



DETAILS OF AUTHORISED PERSONS TO DEAL IN SECURITIES

Sr. No.	Name	Residential Address	Contact No.
1.			
2.			
3.			

(Please use separate sheet if more than three)

BANK DETAILS**(Through which transaction shall generally be routed)**

Bank Name :

Branch Address :

A/c Number :

A/c Type : SA CA NRI Others.....

IFSC for NEFT/RTGS :

MICR No. (9 Digit) :

* Copy of cancelled cheque/passbook/bank statement containing name of constituent to be submitted

DEPOSITORY ACCOUNT DETAILS**(Through which transaction shall generally be routed) NSDL CDSL**

DP Name	DP Id	Beneficiary Name	Beneficiary ID

FINANCIAL DETAILS**Income Range (per annum) : (Please tick which ever is applicable)**

Below Rs. 1 Lac Between Rs. 1 Lac to Rs. 5 Lacs Between Rs. 5 Lacs to Rs. 10 Lacs

Between Rs. 10 Lacs to Rs. 25 Lacs Above Rs. 25 Lacs

INVESTMENT DETAILS/ TRADING EXPERIENCE**Trading Experience : (Please tick which ever is applicable)** No Prior Experience**In no. of years** ___ Year(s) in stock ___ Year(s) in derivatives ___ Year(s) in other investment related fields (Please Specify)**TRADING PREFERENCE****Market Segment on which you wish to trade : (please tick in the relevant boxes)** Cash Market  _____ Derivatives Market  _____ _____

DETAILS OF REGISTRATION WITH OTHER BROKER

Whether Registered with any other : Yes No

Broker /Sub-broker of any exchanges if yes, please provide details, If registered with Multiple members, provide details of all

Broker's Name	Name of Exchange	Client Code
Details of any action taken ever by SEBI/Stock Exchange or any other authority for violation of securities laws/other economic offences If yes, give details (attach annexure if required)		<input type="checkbox"/> Yes <input type="checkbox"/> No

REFERENCES

Introduction : Introduced by another constituent / director or employee of trading member / any other person (please specify)

Name of Introducer :

Identity of Introducer : Client Code (if introduced by client)
 Employee Code (if introduced by employee)
 SEBI Registration Number (if introduced by sub broker)
 Any other person (please specify)

Address of Introducer :

Telephone No. of Introducer :

PAN No. of Introducer (if any) :

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Signature of Introducer :

Name & Designation of employee who interview the client :

Signature of employee :

DECLARATION

I hereby declare that all the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I am aware that I may be held liable for it.

Client's Name :

Date :

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Place :

Signature : _____

Annexure - A

**Information about Directors/Partners/Key Managerial Personnel in case of Company/Firm
(Separate Annexure A for all Directors/Partners)**

Name :

Designation :

Residential Address :

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Phone : Fax :

E-mail :

Date of Birth : Sex : Male /Female

Educational Qualifications :

Work Experience (No. of Years) :

Equity Stake :

Income Tax No. (PAN / GIR) :

BANK ACCOUNT PARTICULARS

Name of the Bank :

Branch (Address & Tel. No.) :


Account Number:

Date of Opening of Account :

Copy of any two of the following proofs of identity should be submitted :

Passport, Driving License, Ration Card, Voter I-Card, Copy of Income tax Return

Place :

Signature 

Date :

Name

Please affix
and sign on
the photograph

Annexure - A

**Information about Directors/Partners/Key Managerial Personnel in case of Company/Firm
(Separate Annexure A for all Directors/Partners)**

Name :

Designation :

Residential Address :

.....

Phone : Fax :

E-mail :

Date of Birth : Sex : Male /Female

Educational Qualifications :

Work Experience (No. of Years) :

Equity Stake :

Income Tax No. (PAN / GIR) :

BANK ACCOUNT PARTICULARS

Name of the Bank :

Branch (Address & Tel. No.) :


Account Number:

Date of Opening of Account :

Copy of any two of the following proofs of identity should be submitted :

Passport, Driving License, Ration Card, Voter I-Card, Copy of Income tax Return

Place :

Signature 

Date :

Name



FORMAT OF BOARD RESOLUTION TO BE FURNISHED ON LETTER HEAD OF THE COMPANY NON-MANDATORY

CERTIFIED TRUE COPY OF RESOLUTION PASSED IN THE MEETING OF BOARD OF DIRECTORS OF M/s _____ HELD ON _____ DAY _____ OF _____ 20 _____ AT REGISTERED OFFICE OF THE COMPANY.

“RESOLVED THAT a trading account in the name of M/s _____ be opened with MLB Capital Pvt. Ltd., having its office at 301, Pratap Chambers, Gurudwara Road, Karol Bagh, New Delhi-110005, for the purpose of dealing in Capital Market segment, Futures and Options segment in Equity Derivatives & Currency derivatives.

“RESOLVED FURTHER THAT Mr. _____, and / or Mr. _____, director of the company be and is hereby authorised on behalf of the company to complete Client Registration form, execute Member client Agreement and all other documents as may be deemed expedient to open and maintain trading account with MLB Capital Pvt. Ltd., and is authorised to appoint himself or any other person to sell, purchase, transfer, endorse and/or otherwise deal through MLB Capital Pvt. Ltd.

“RESOLVED FURTHER THAT this resolution be communicated to the MLB Capital Pvt. Ltd., and remain in force until notice in writing be given to the MLB Capital Pvt. Ltd.”

For _____

Chairman/Director

DECLARATION TO BE GIVEN BY CORPORATES ON THEIR LETTERHEAD NON-MANDATORY

To,

MLB CAPITAL PVT. LTD.

Regd. Office: 301, Pratap Chambers, Gurudwara Road, Karol Bagh, New Delhi-110005

Ph.: 011-45060606 (30 Lines), 28759891-2, Fax: 011-45060666, 28759898

Dear Sir,

We hereby certify that the following resolution of the Board of Directors of _____ was duly passed at the Board meeting held on _____ (Name of the Company)

That

1. The Company is empowered to deal in equities, derivatives, debentures, debt products and agrees to the terms of MLB Capital Pvt. Ltd. as per the Member Client Agreement.
2. MLB Capital Pvt. Ltd. is hereby authorized to act on the oral or written instructions of any one or more of the following persons :

Name (s)	Signature (s)

Signature of Chairman / Director _____

And

Signature of Company Secretary _____
with seal

Date : _____

Place : _____

(Please attach a certified true copy of the resolution)

AUTHORITY LETTER FOR KARTA (HUF)






NON-MANDATORY

we all members of HUF are hereby authorizing Mr. _____ (Karta) to deal with MLB Capital Pvt. Ltd., in Capital Market / F&O Segment of NSE and having all powers to take decision and sign all documents on our behalf.

This is for your information please.

List of Members of (HUF)

Karta _____ Sign _____

- Other Members 1. _____ Sign 
- 2. _____ Sign 
- 3. _____ Sign 
- 4. _____ Sign 
- 5. _____ Sign 

DECLARATION TO BE GIVEN BY PARTNERSHIP ON LETTERHEAD

NON-MANDATORY

To,
MLB CAPITAL PVT. LTD.


Regd. Office: 301, Pratap Chambers, Gurudwara Road, Karol Bagh, New Delhi-110005
Ph.: 011-45060606 (30 Lines), 28759891-2, Fax: 011-45060666, 28759898

Dear Sir,


This is with reference to trading account opened with you in the name of _____, we hereby declare and authorize you as hereunder :

We recognize that a beneficiary account can not be opened with a Depository Participant in the name of the partnership firm as per regulations, to facilitate the operation of the above trading account with you and for the purpose of completing the securities transfer obligations, pursuant to the Trading operations, we authorize you to recognize the beneficiary Account no. _____ with Depository _____ having DP ID _____ as a joint account in the name of the partners of the firm.


And also, we agree that the obligations for shares purchased and / or sold by the firm will be handled and completed through transfers to / from the above mentioned account. We recognize and accept transfers made by you to the above beneficiary account as complete discharge of obligations by you in respect of trades executed in the above trading account of the firm.

 _____

Signature of Partners

 _____

Signature of Partners

 _____

Signature of Partners